

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of

Richard W. Bauer, et al

A BOTTLE AND BOTTLE  
CLOSURE ASSEMBLY

Serial No. US 10/080,118

Filed 21 February 2002

Group Art Unit: 3727

Examiner:

I hereby certify that this correspondence is being deposited  
today with the United States Postal Service as first class mail  
in an envelope addressed to Commissioner for Patents,  
Washington, D.C. 20231.

*Deborah J. Walczak*  
Deborah J. Walczak

*May 24, 2002*  
Date

Commissioner for Patents  
Washington, D.C. 20231

Sir:

**INFORMATION DISCLOSURE STATEMENT FOR CONSIDERATION**  
**BY THE OFFICE UNDER 37 C.F.R. 1.97-1.99**

Enclosed herewith are patents and/or publications for consideration  
by the Patent and Trademark Office in regard to the invention claimed in the  
above-described application. In compliance with §1.56, such documents are listed  
in the enclosed Form PTO-1449.

Applicants request that the Patent and Trademark Office make of  
record the above-identified documents. Unless otherwise indicated, a full text  
copy of each document is attached. For documents not in English, an English  
translation or an equivalent English language patent or publication may be  
attached. Where a translation is not available, a concise explanation of the  
relevance of each document not in English is included either here or in the  
specification.

This Information Disclosure Statement (hereinafter "Statement") is  
submitted according to the following selected paragraph:

- I. ☒ This Statement is being filed under §1.97(b) within three months of  
the filing date of the application (other than a CPA), or before the  
mailing of a first Office action on the merits or before the mailing of a  
first Office action after the filing of a request for continued  
examination.
- II. ☐ This Statement is being filed under §1.97(c), with fee, **prior** to the  
mailing date of any of a final action, a notice of allowance or an action  
that otherwise closes prosecution in the application. Please charge the  
fee required by §1.17(p) to Eastman Kodak Company Deposit Order  
Account Number 05-0225. A duplicate copy of this Certification is  
enclosed.

III. ☐ This Statement is being filed under §1.97(c), with a certification under, §1.97(e) **prior** to the mailing date of any of a final action, a notice of allowance or an action that otherwise closes prosecution in the application. The undersigned hereby states that (check one):

☐ each item of information contained in this Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Statement.

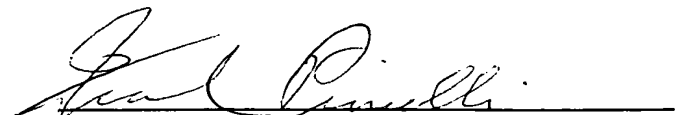
☐ no item of information in this Statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing this certification under §1.97(e) after making reasonable inquiry, no item of information contained in this Statement was known to any individual designated in §1.56(c) more than three months prior to the filing of this Statement.

IV. ☐ This Statement is being filed under §1.97(d), with fee and certification under §1.97(e), on or after the mailing date of either a final action, a notice of allowance (but prior to payment of the issue fee) or an action that otherwise closes prosecution in the application. Please charge the fee required by §1.17(p) to Eastman Kodak Company Deposit Order Account No. 05-0225. A duplicate copy of this Certification is enclosed. The undersigned hereby states that (check one):

☐ each item of information in this Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Statement.

☐ no item of information in this Statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing this certification under §1.97(e) after making reasonable inquiry, no item of information contained in this Statement was known to any individual designated in §1.56(c) more than three months prior to the filing of this Statement.

Respectfully submitted,



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Enclosures